

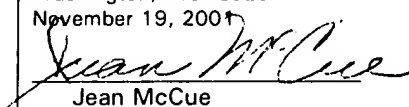
883933.0066 (UCON-126.1)



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Yi Li et al	37 C.F.R. § 1.8 Certificate of Mailing I hereby certify that this correspondence is today being deposited with the U.S. Postal Service in an envelope with appropriate postage affixed thereto and addressed to Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231. November 19, 2001  Jean McCue
Serial No.:	09/916,780	
Filing Date:	July 27, 2001	
Group Art Unit	unassigned	
Examiner	unassigned	
Title of Application:	METHODS FOR THE CONTROLLED, AUTOMATIC EXCISION OF HETEROLOGOUS DNA-EXCISING GENE CASSETTES FOR USE THEREIN	

November 19, 2001

BOX Missing Parts
Commissioner for Patents
Washington, DC 20231

Attention: Customer Service Center
Initial Patent Examination Division


RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated October 4, 2001 (copy enclosed), Applicants submit herewith in connection with the above-identified patent application the executed Declaration, a check in the amount of \$ 65.00 in payment of the surcharge in connection with the late filing of the Declaration, a Transmittal of Sequence Listing, the sequence listing in paper form and a copy of the disk containing the sequence listing in computer readable form.

In the event the fees are insufficient, or should constitute an overpayment of fees, the Commissioner is hereby authorized to charge or credit, as appropriate, Deposit Account No. 11-0231.

Respectfully submitted,

By: 
Daniel F. Coughlin, Reg. No. 36,111
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,780	07/27/2001	Yi Li	883933.0066

CONFIRMATION NO. 9604

FORMALITIES LETTER



OC000000006843169

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New Haven, CT 06506-1960

Docketed

12/4/01

#4

By 100
10/10/01

4/4/02

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice **MUST** be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE